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UNITED STATES

OMB APPROVAL

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FORM 19b-4(e)

DIVISION OF MARKET REGULATION Information Required of a Self-Regulatory Organization Listing and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934			
READ ALL IN	STRUCTIONS	PRIOR TO COMPLETING	FORM
Part I	Initial List	ting Report	
Name of Self-Regulatory Organization Listin The NASDAQ Stock Market LLC (trade)
2. Type of Issuer of New Derivative Securities Trust	Product (e.g., cle	earinghouse, broker-dealer, corp	poration etc.):
3. Class of New Derivative Securities Product: Trust Issued Receipt			
4. Name of Underlying Instrument: Pharmaceutical HOLDRS Trust			07046464
5. If Underlying Instrument is an Index, State V	Vhether it is Bro	ad-Based or Narrow-Based:	
Ticker Symbol(s) of New Derivative Securit PPH	ies Product:		1
7. Market or Markets Upon Which Securities C Listed on: NASDAQ, NYSE, Amex	omprising Unde	rlying Instrument Trades:	
8. Settlement Methodology of New Derivative Securities Product: T + 3, Physical Settlement			PRODESSED
9. Position Limits of New Derivative Securities Product (if applicable): N/A			MAR 1 4 2897, THOMSON
Part II	Execu	ition	FINANCIAL
The undersigned represents that the go approved, or has duly delegated its ap new derivative securities product accostandards.	proval to the uno	dersigned for, the listing and trace	ding of the above-referenced
Name of Official Responsible for Form: Gary N. Sundick			
Title: Vice President, Listing Qualifications	Act	Securities Exchange	Act of 1934
Telephone Number: 301 978-5214	Section	19b-4	
Manual Signature of Official Responsible for Fo	rnKule	19b-4(e)	

Date:

Public Availability:

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